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CP/215-2 #4

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dodd et al.

Serial No.: 10/066,461

Filed: January 31, 2002

For: Method and System for Calculating Risk
in Association with a Security Audit of a
Computer Network

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Art Unit: 2152 Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

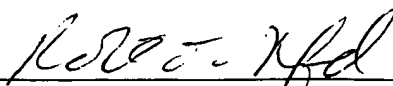
Commissioner for Patents
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

Pursuant to 37 CFR § 1.97(e), the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the

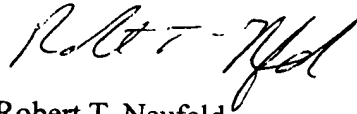
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 21, 2002.


Robert T. Neufeld, Reg. No. 48,394

Serial No. 10/066,461

Information Disclosure Statement was known to any individual designated in 37 CFR § 1.56(c) more than three (3) months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Robert T. Neufeld", written in a cursive style.

Robert T. Neufeld
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K&S Docket: 05456.105036 US
2446455 v1

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105036	SERIAL NO. 10/066,461	FILING DATE January 31, 2002
APPLICANT Dodd et al.		GROUP 2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6,301,668 B1	10/9/2001	Gleichauf et al.			12/29/1998
AB	6,324,647 B1	11/27/2001	Michel K. Bowman-Amuah			8/31/1999
AC	6,405,364 B1	6/11/2002	Michel K. Bowman-Amuah			8/31/1999
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
					YES	NO.
AL						
AM						
AN						
AO						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	International Search Report dated August 8, 2002
AR	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.